



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

5-1-02

Applicant(s): Krames, Michael R.; Takeuchi, Tetsuya; Yamada, Norihide;  
Amano, Hiroshi; Akasaki, Isamu  
Assignee: LumiLeds Lighting U.S., LLC  
Title: Nitride Semiconductor Device With Reduced Polarization Fields  
Serial No.: 09/992,192 Filing Date: November 13, 2001  
Examiner: Not Yet Known Group Art Unit: Not Yet Known  
Docket No.: M-11040-3P US

San Jose, California  
February 4, 2002

COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR § 1.97(b)

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, the document listed on the accompanying form PTO-1449 is called to the attention of the Examiner for the above patent application. A copy of this document is enclosed.

Citation of this document shall not be construed as:

1. an admission that the document is necessarily prior art with respect to the instant invention;
2. a representation that a search has been made; or
3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

EXPRESS MAIL LABEL NO:

EL 701021584 US

Respectfully submitted,

*Mark E. Schmidt*

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Re: Applicant(s): Krames, Michael R.; Takeuchi, Tetsuya; Yamada, Norihide;  
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Dear Sir:

Transmitted herewith are the following documents in the above-identified application:

- (1) Return Receipt Postcard;
- (2) This Transmittal Letter (in duplicate);
- (3) Information Disclosure Statement (1 page);
- (4) Form PTO-1449;
- (5) 1 Cited Reference.

- ☒ No additional fee is required.  
☐ The fee has been calculated as shown below:

- ☒ Conditional Petition for Extension of Time: If an extension of time is required for timely filing of the enclosed document(s) after all papers filed with this transmittal have been considered, an extension of time is hereby requested.

☐ Please charge our Deposit Account No. 19-2386 in the amount of \$ 0.00

- ☒ Also, charge any additional fees required and credit any overpayment to our Deposit Account No. 19-2386.

Total: \$ 0.00

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